



PLEASE REFER TO THE PRODUCT LABEL SECTION ON PAGE I BEFORE FILLING THIS FORM



**TATA Common Application Form (except for Tata Retirement Savings Fund & Tata Young Citizens' Fund)**

Sr. No.:

**I. DISTRIBUTOR INFORMATION** (Only empanelled Distributors / Brokers will be permitted to distribute Units of Tata Mutual Fund) refer instruction A16 & K **FOR OFFICE USE ONLY (TIME STAMP)**

BROKER / AGENT CODE	SUB-BROKER / BANK BRANCH CODE	SUB-BROKER ARN CODE	EUIN CODE
ARN-131351			

I/We hereby confirm that the EU manager/sales person of the above transaction is executed of the distributor/sub broker. n-appropriateness, if ar ee/relationship ar/sales person

Sole / 1st Unitholder Signature / Thumb Impression	2nd Unitholder Signature / Thumb Impression	3rd Unitholder Signature / Thumb Impression
--	---	---

**TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Inst. A15)**

In case the purchase / subscription amount is Rs. 10,000 or more and your Distributor has opted to receive transaction charges, the same are deductible as applicable from the purchase / subscription amount and payable to the Distributor. Units will be issued against the balance amount invested. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**2. EXISTING UNITHOLDER INFORMATION (please fill in your Folio Number, Name & proceed to Scheme Investment Details)**

Existing Folio Number:  Name of Sole/1st Applicant:

**3. APPLICANT'S PERSONAL DETAILS (Fill in Block Letters, use one box for one alphabet leaving one box blank between two words, as it appears in your Bank A/c & KYC letter)**

**NAME OF FIRST / SOLE APPLICANT**  Mr.  Ms.  M/s. **MODE OF HOLDING**  Single  Joint (Default)  Anyone or Survivor(s)

1st holder PAN/PEKRN   KYC Copy attached **Date of Birth**

Proof of DOB (Mandatory for minor)  Birth Certificate  School Leaving Certificate  Passport  Other

**KYC DETAILS (Mandatory) 1st Unitholder**

<b>STATUS:</b> <input type="checkbox"/> Resident Indian <input type="checkbox"/> NRI <input type="checkbox"/> PIO <input type="checkbox"/> HUF <input type="checkbox"/> Minor RI <input type="checkbox"/> Minor NRI <input type="checkbox"/> Trust <input type="checkbox"/> Proprietorship <input type="checkbox"/> LLP <input type="checkbox"/> Partnership <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Pvt. Ltd. Co. <input type="checkbox"/> Non Profit Organisation <input type="checkbox"/> Societies <input type="checkbox"/> FOF <input type="checkbox"/> Body Corporate <input type="checkbox"/> Others ..... (please specify)	<b>OCCUPATION:</b> <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Business <input type="checkbox"/> Retired <input type="checkbox"/> Government Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Professional <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others ..... (please specify)	<b>Gross Annual Income:</b> <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore Networth in (Mandatory for Non-individual) ₹ ..... as on <input type="text"/> (not older than 1 year)	<b>For Individuals:</b> <input type="checkbox"/> Politically Exposed Person <input type="checkbox"/> Related to Politically Exposed Person <input type="checkbox"/> Not Applicable <b>For Non-Individual Investors (Companies, Trust, Partnership etc):</b> Is the company a Listed Company or Subsidiary of Listed Company or or Controlled by a Listed Company: (if No, please attach mandatory UBO declaration): <input type="checkbox"/> Yes <input type="checkbox"/> No
--	--	---	--

**Non Individual investors involved/providing any of the mentioned services**  Foreign Exchange / Money Changer Services  Gaming / Gambling / Lottery / Casino Services  
 Money Lending / Pawning  None of the above

**DETAILS UNDER FATCA / FOREIGN TAX LAWS**

Country of birth  Place of birth  Nationality

Type of address given at KRA :  Residential or Business  Residential  Business  Registered Office

Are you a resident in any country other than India for tax purposes.  Yes  No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number	Identification Type (TIN or other, please specify) ^

\*To also include USA, where the individual is citizen / green card holder of the USA

^ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet been issued, please provide an explanation and attach this to the form.

For Non Individual Investor, Please tick the relevant box below, even if Country of Tax Residency is India #

Form W8 BEN-E / Specified declaration (Enclosed)  
 Unable to Provide [Tata Mutual Fund will contact you in due course to confirm your FATCA Status]

# Where no box is ticked, the second statement will be taken as the default implying that the applicant / investor currently is unable to confirm FATCA status and will confirm the same in future.

**ACKNOWLEDGEMENT SLIP (TO BE FILLED BY THE INVESTOR)**

Sr. No.:

Received Subject to realisation and verification an application for purchase of units as mentioned in the application form.

from

Scheme	Cheque no.	Amount

Signature, Stamp & Date

**Second applicant details**

Mr.  Ms.

Name

2nd holder PAN/PEKRN

KYC Copy attached

**\*OCCUPATION:**  Private Sector Service  Public Sector Service  Government Sector  Business  Professional  Agriculturist  Retired  
 Housewife  Student  Forex Dealer  Others

**\*GROSS ANNUAL INCOME:**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore;  
 Networth in ₹ ..... as on DD/MM/YYYY (not older than 1 year);

**\*OTHERS:**  Politically Exposed Person  Related to Politically Exposed Person  Not Applicable **# MANDATORY**

**DETAILS UNDER FATCA / FOREIGN TAX LAWS**

Country of birth \_\_\_\_\_ Place of birth \_\_\_\_\_ Nationality \_\_\_\_\_

Type of address given at KRA :  Residential or Business  Residential  Business  Registered Office

Are you a resident in any country other than India for tax purposes.  Yes  No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number	Identification Type (TIN or other, please specify) ^

\*To also include USA, where the individual is citizen / green card holder of the USA. ^ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet been issued, please provide an explanation and attach this to the form.

**Third applicant details**

Mr.  Ms.

Name

3rd holder PAN/PEKRN

KYC Copy attached

**\*OCCUPATION:**  Private Sector Service  Public Sector Service  Government Sector  Business  Professional  Agriculturist  Retired  
 Housewife  Student  Forex Dealer  Others

**\*GROSS ANNUAL INCOME:**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore;  
 Networth in ₹ ..... as on DD/MM/YYYY (not older than 1 year);

**\*OTHERS:**  Politically Exposed Person  Related to Politically Exposed Person  Not Applicable

**DETAILS UNDER FATCA / FOREIGN TAX LAWS**

Country of birth \_\_\_\_\_ Place of birth \_\_\_\_\_ Nationality \_\_\_\_\_

Type of address given at KRA :  Residential or Business  Residential  Business  Registered Office

Are you a resident in any country other than India for tax purposes.  Yes  No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number	Identification Type (TIN or other, please specify) ^

\*To also include USA, where the individual is citizen / green card holder of the USA. ^ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet been issued, please provide an explanation and attach this to the form.

**Guardian details**

Name of Guardian (In case of Minor) Contact Person/Designation (In case of non-individual Investors)  Mr.  Ms.

Relation with Minor/Designation

Guardian's PAN/PEKRN

KYC Copy attached

Proof of relationship with minor (Mandatory) Mother / Father / Legal Guardian  Birth Certificate  School Leaving Certificate  Passport  Other \_\_\_\_\_

Guardian / POA / Proprietor	PAN/PEKRN (mandatory)	PAN/PEKRN Proof enclosed	KYC Compliance
Name		<input type="checkbox"/>	<input type="checkbox"/>

**DETAILS UNDER FATCA / FOREIGN TAX LAWS**

Country of birth \_\_\_\_\_ Place of birth \_\_\_\_\_ Nationality \_\_\_\_\_

Type of address given at KRA :  Residential or Business  Residential  Business  Registered Office

Are you a resident in any country other than India for tax purposes.  Yes  No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number	Identification Type (TIN or other, please specify) ^

\*To also include USA, where the individual is citizen / green card holder of the USA. ^ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet been issued, please provide an explanation and attach this to the form.

**CHECKLIST**

Toll Free:1800-209-0101 (Open on all days). Email: kiran@tataamc.com, Website: www.tatamutualfund.com. Documents as listed below are submitted along with this application.

Document List	Document List	Document List
1. KYC <input type="checkbox"/>	5. Bye-Laws <input type="checkbox"/>	9. Foreign Invest Remittance Certificate (FIRC) <input type="checkbox"/>
2. Resolution / Authorisation to invest <input type="checkbox"/>	6. Partnership Deed <input type="checkbox"/>	10. MICROSIP document <input type="checkbox"/>
3. Authorised Signatories List with Specimen Signature <input type="checkbox"/>	7. Overseas Auditor's Certificate <input type="checkbox"/>	11. Others <input type="checkbox"/>
4. Trust Deed <input type="checkbox"/>	8. Notarised Power of Attorney <input type="checkbox"/>	

All documents in 3 to 8 above should be originals / true copies certified by the Director's / Trustee / Company Secretary / Authorised Signatory / Notary Public.



